# The emergence of China in international academic management research: A nuanced analysis following the new f2-methodology

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#### **ABSTRACT**

In a few decades, China has made a huge rise in science, exemplified with an impressive expansion of academic publications. The management field has traditionally been dominated by the US universities and Anglo-Saxon researchers. The objective of the present study is to analyze through the application of a new bibliometric methodology whether the progress of Chinese universities in academic management research is in line with China's overall progress in science. The classic analysis of volume productivity and citation count is complemented with the recent f<sup>2</sup>-methodology. It is based on a more fine-grained classification of publications into categories of citations, focusing on the 10%-percentile and the h-core. The methodology is applied here at a country level and at the level of the university, for all publications and for those of the last 5 years. The results confirm the rise of academic publications by Chinese management scholars, but the analysis of publication outlets and the international collaborations nuance this progress. The US dominance in academic management research is maintained; despite a decline in relative terms, the US publication volume and the higher citations remain in absolute terms. This application of the f<sup>2</sup>-methodology with weighted factors in function of impact brings nuances to first level bibliometric analyses based on volume productivity or on total citations. This nuanced analysis results in fairer assessments and more equitable rankings. The application of the compound F<sup>2</sup>-index illustrates the dynamics in bibliometrics.

**Keywords:** Bibliometrics; Academic management research; Research performance; Publication productivity; China

## **INTRODUCTION**

China's imposing economic development over the past decades has impressed the international community (Zhou 2015). With its two-digits growth ratios, China has gradually caught up with the most important economic powers, to become the second country in the world behind the USA. Following this growth of the economy, China also has recently moved towards own technological innovation. The Chinese government has strategically put a focus on scientific research (Zhou and Leydesdorff 2006). With huge investments in laboratories and universities, and in manpower, China has overtaken the R&D budget of the European Union (Zhang, Rollins and Lipitakis 2018). This effort is also substantiated by the number of scientists China has trained. This strategy has led to a rapid

rise of China as a research nation (Zhou 2015). The growing prominence of China in science is illustrated by a fantastic increase of the publication volume in international scientific journals, especially in the last 15 or 20 years (Zhou and Leydesdorff 2008; Zhou 2013).

The objective of the paper is to analyze this phenomenon applying a recent bibliometric methodology, the f²-methodology (Fassin 2018), within the scope of a specific social sciences research area, namely academic management research. The structure of the paper is as follows. Following a brief literature review on bibliometric studies on the emergence of Chinese research, the study more specifically analyzes academic management research. First, a volume productivity and citation analysis are undertaken for China and US, embedded in a broader country comparison, and complemented with an analysis at the university level. Then, the recent f²-methodology is introduced and applied to reveal a more fine-grained classification of publications into categories of citations, focusing on the 10%-percentile and the h-core. This method is applied at a country level and at the level of the university. This study is one of the first papers to present an analysis and findings based on this new f²-methodology. A special attention is devoted to an analysis of the recent 5 years, and complemented with an analysis of the publication outlets and the international collaborations. Then follows the discussion and conclusion.

#### SITUATIONAL ANALYSIS

Chinese universities have been progressing as well as their scientific publications output (Rui 2015). The exponential growth in scientific publications had already been signaled by Jin and Rousseau (2004) on the basis of scientific and technological indicators. Zhou and Leydesdorff (2008) confirmed the second rank of China second in number of scientific publications since 2006. Recent reforms are realized to further raise the country's profile in scientific research (Kennedy 2019).

China has focused with success on education in STEM fields: science, technology, engineering and mathematics. In their comparative study of perception of career choice, Rezayat and Sheyu (2020) found out that Chinese students adopt a more positive attitude and readiness for pursuing STEM studies careers than their US colleagues, who consider other career choices more rewarding. The progress of Chinese research resulted from a focus on promising fields of economic growth and innovation such as information and communication technology (Jin, Latif and Shen 2018). A scientometric analysis on the basis of OECD statistics and publications shows how China has become a major player in critical technologies like nanotechnology (Zhou and Leydesdorff 2008)

Zhou, Thijs and Glänzel (2009) noticed that the development of research in social sciences in China is slower than that in natural sciences. They also mentioned a few possible reasons, especially the more national character and more local impact of the social sciences. Liu et al (2015) confirmed that the development of social sciences research in China has been less explored. While Chinese business schools have also made huge progress in the world rankings, a bibliometric study on their contribution to management research has not been performed yet.

Zhang et al. (2018) analyzed the contemporary international scientific collaboration using new concepts as the centrality in the international collaborative network. While Zhang et al. (2018) performed their analysis at the global level of all scientific disciplines, one of their suggestions is followed to go into more details to some specific fields. Several authors have

pointed to the importance of international collaboration (Glänzel 2001; Lee and Bozeman 2005; Ribeiro et al. 2018), also applied to China (He 2009; Zhou and Glänzel 2010; Niu and Qiu 2014).

#### **OBJECTIVE**

A constant critique on most of those bibliometric studies is that an international comparison is realized on the basis of number of publications or citations without much nuances or differentiation. The objective of the present study is to perform a more indepth analysis of the bibliometric data through a more advanced methodology. The sample chosen to perform this analysis is Chinese academic research in management.

The management field has traditionally been dominated by the US and Anglo-Saxon researchers, and the objective of the present study is to analyze whether the progress of China in academic management research is in line with its overall progress in science. The search was performed on data of the Web of Science (WoS), selected on 'management' as topic for the WoS categories 'Management' or 'Business' or 'Economics' or 'Business Finance' from 1955 to 2018 (last complete year). Further selections were analyzed by countries. The analysis is realized through bibliometric data, using the recent f<sup>2</sup>-methodology (Fassin 2018).

#### RESULTS OF FIRST LEVEL BIBLIOMETRIC ANALYSIS

As in all scientific fields, China has increased its share in academic publications in the management field during the last decades (Zhou and Leydesdorff 2008). With 83923 articles, China ranks second to the USA that participated in 132837 of the 441966 academic articles on management. Limiting the count to the last 5 years, China has equalized its publication volume with a share of 20 percent nearly equivalent to the USA. Table 1 illustrates this phenomenon with figures of cumulated publication volumes for each decade, and for the last 5 years, for the world, and for China and the USA.

World **USA** China World **USA** China All years Recent 5 yrs Before 1980 1981-1990 1991-2000 2001-2010 2011-2018 

Table 1: Total Number of Publications

Figure 1 shows the evolution of articles selected in the Web of Science for the last 20 years per year, for China and for the USA. China has started to increase its productivity from around 2003 and has overpassed the USA in volume from 2006 onwards.

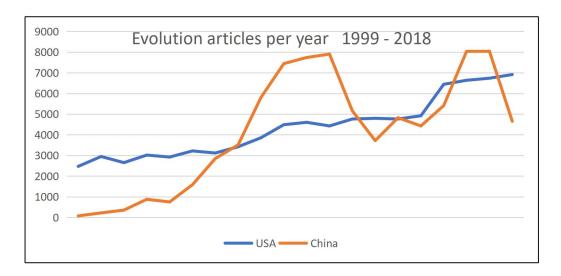


Figure 1: The Evolution of Scholarly Publications in China versus USA

# **A Country Comparison**

The country comparison in Table 2 illustrates how China's share has improved dramatically. By 2000, China did not attain 1 percent of all publications in management; at the country level, China was positioned on the 8<sup>th</sup> place. By 2010 this amount has climbed until 17.4 percent and 19 percent by 2018. In total amount, China has reached the level of the USA in a quasi shared 1<sup>st</sup> position, with each around 20 percent, more than double of England, 3<sup>rd</sup>, and more than 4 times more publications than the following countries: Canada, Australia, Germany, the Netherlands, France and Italy.

|             | All    |        |       |         |      |      |      |         |           |        |
|-------------|--------|--------|-------|---------|------|------|------|---------|-----------|--------|
|             | years  | 2010   | 2000  | 5 years | 2000 | 2010 | 2018 | 5 years | rec/total | proc % |
| World       | 441965 | 228315 | 97592 | 152250  | 100  | 100  | 100  | 100     | 34%       | -      |
| USA         | 132837 | 86879  | 51179 | 31640   | 52.4 | 38.1 | 30.1 | 20.8    | 24%       | -31%   |
| China       | 83923  | 39664  | 865   | 30569   | 0.9  | 17.4 | 19.0 | 20.1    | 36%       | 6%     |
| England     | 38873  | 19896  | 9295  | 13970   | 9.5  | 8.7  | 8.8  | 9.2     | 36%       | 4%     |
| Canada      | 18210  | 9833   | 4744  | 5934    | 4.9  | 4.3  | 4.1  | 3.9     | 33%       | -5%    |
| Australia   | 16493  | 6355   | 1702  | 7570    | 1.7  | 2.8  | 3.7  | 5.0     | 46%       | 33%    |
| Germany     | 14001  | 4992   | 726   | 7151    | 0.7  | 2.2  | 3.2  | 4.7     | 51%       | 48%    |
| Netherlands | 11424  | 4288   | 1302  | 4465    | 1.3  | 1.9  | 2.6  | 2.9     | 39%       | 13%    |
| France      | 10567  | 3701   | 1071  | 5277    | 1.1  | 1.6  | 2.4  | 3.5     | 50%       | 45%    |
| Italy       | 9344   | 2558   | 485   | 5628    | 0.5  | 1.1  | 2.1  | 3.7     | 60%       | 75%    |

Table 2: Publications on Management per Country

When comparing the last 5 years percentual contribution to the overall contribution until 2018, the USA present a decline of 31 percent and Canada 5 percent. In a relative comparison, all other countries have increased their productivity in the last 5 years: the proportion of the 5 recent years contribution is superior to their cumulated share until 2018, 2010 and 2000. The recent contribution of China is still 6 percent superior to its overall cumulated contribution.

#### Universities

Additional information is provided by an analysis at the level of the universities. How do Chinese university perform in management research? The overall data bring Wuhan University of Technology as the most productive institution by 2018, before Massachusett Institute of Technology (MIT), Northwestern University, the University of Pennsylvania and Cornell University. Harbin Institute of Technology comes 6<sup>th</sup> before Harvard, the University of Minnesota and Erasmus University, the first European institution. Six other Chinese universities (in 3<sup>rd</sup> series of columns of Table 3a) join the top 50 of productivity.

Table 3a: Publications on Management: The Leading Universities

| University          | Publica- | Rank | University      | Publica- | Rank | University       | Publica- | Rank |
|---------------------|----------|------|-----------------|----------|------|------------------|----------|------|
|                     | tions    |      |                 | tions    |      |                  | tions    |      |
| Wuhan Univ Tech     | 3845     | 1    | Erasmus Univ    | 2566     | 9    | HK Polytech Univ | 1915     | 25   |
| Mass Inst Tech      | 3699     | 2    | Univ Michigan   | 2426     | 10   | Beijing Jiaotong | 1868     | 29   |
| Northwestern Univ   | 3346     | 3    | Univ Illinois   | 2348     | 11   | Wuhan Univ       | 1673     | 34   |
| Univ Penn           | 2686     | 4    | Penn State Univ | 2310     | 12   | City Univ HK     | 1624     | 35   |
| Cornell Univ        | 2677     | 5    | Michigan State  | 2177     | 13   | Zhejiang Univ    | 1554     | 38   |
| Harbin Inst Technol | 2661     | 6    | Univ Toronto    | 2130     | 14   | Huazhong Univ ST | 1323     | 48   |
| Harvard Univ        | 2625     | 7    | Stanford Univ   | 2099     | 15   | Tsinghua Univ    | 1265     | 60   |
| Univ Minnesota      | 2605     | 8    | Univ Maryland   | 2084     | 16   | Chinese Univ HK  | 1260     | 61   |

Table 3b: Recent publications on Management: The Leading Universities

| University                  | Publications | Rank | University              | Publications | Rank |
|-----------------------------|--------------|------|-------------------------|--------------|------|
| Wuhan Univ Technol          | 1115         | 1    | Tsinghua Univ           | 639          | 12   |
| Bucharest Univ Econ Studies | 1005         | 2    | City Univ HK            | 591          | 19   |
| Erasmus Univ                | 997          | 3    | Harbin Inst Tech        | 581          | 22   |
| Hong Kong Polytech Univ     | 809          | 4    | Zhejiang Univ           | 550          | 25   |
| Beijing Jiaotong Univ       | 806          | 5    | Peking Univ             | 542          | 26   |
| Univ Lancaster              | 774          | 6    | Shanghai Jiao Tong Univ | 511          | 32   |
| Copenhagen Business Sch     | 773          | 7    | North China Elect Power | 504          | 34   |
| Cornell Univ                | 736          | 8    | Sichuan Univ            | 460          | 43   |
| Natl Univ Singapore         | 675          | 9    | Chinese Univ HK         | 436          | 55   |
| Mass Int Tech               | 658          | 10   | Xiamen Univ             | 422          | 63   |

The progress in productivity of the Chinese universities in management research in the last 5 years, is confirmed, with Wuhan University of Technology in the first place, and two other Chinese universities in the top 5, Hong Kong Polytechnic (4<sup>th</sup>) and Beijing Jiaotong University (5<sup>th</sup>); further Tsinghua University comes in 12<sup>th</sup> position. 10 Chinese universities join the top 50, whereof 7 in top 25 (Table 3b).

#### **Citation Analysis**

In two decades, China has gradually taken the lead in productivity in management research but this statement needs further analysis. Besides the number of publications, a classic bibliometric analysis studies the amount of citations (Rousseau, Egghe and Guns 2018). The WoS provides the total number of citations for a limited number of 10000 articles, presented in Table 4. Applied to the first 10000 of the selected samples, the US presents more the 3 million of citations, 10 times more than the Chinese sample. Applied to the recent selection of the last 5 years, the US sample gathers 177250 citations, more than 2.5 that of the Chinese sample.

| All years *                   | All     | USA     | China         | 5 years         | USA    | China |
|-------------------------------|---------|---------|---------------|-----------------|--------|-------|
| Number articles               | 441965  | 132837  | 83923         | 152250          | 31640  | 30569 |
| Total citations **            | 3486391 | 3083314 | 322633        | 274524          | 177250 | 68151 |
| Average citation per article  | 348.64  | 308.3   | 32.3          | 27.45           | 17.7   | 6.8   |
| h-index                       | 741     | 701     | 193           | 114             | 100    | 64    |
| * retrieved WoS 12 February 2 | 019     |         | ** limited to | first 10000 art | icles  |       |

Table 4: Publications in USA versus China

The number of citations for the overall US dataset reaches 88 percent of all citations; that of the overall Chinese dataset only 9 percent. Restricted to the last 5 years, the US participation in citations is reduced to 65 percent, compared to an increase towards 25 percent of all citations for the Chinese dataset. It should be noted that in this WoS search, each co-author is assigned full credit, as well as their institution and their country, which explains that the total overpass 100 percent.

The average number of citations for the US top 10000 dataset is 308 per article compared to 32 for the Chinese; and 18 versus 7 for the recent dataset. The USA benefits from an important number of seminal articles with more than 20 years citations: 3 articles (Podsakoff et al. from 2003, Barney in 1991 and Eisenhardt in 1989) have gathered more than 10000 citations; 16 articles have more than 5000 citations and 334 articles reached the 1000 limit. From those 334 articles, 217 were published before 2000. For the Chinese dataset 6 articles got more than 1000 citations by 2018 (the maximum is 1588 citations), while 108 articles obtained more than 250 citations. Only 7 of those Chinese articles were written before 2000. It should also be noticed that the top 5 articles have been written in collaboration with non-Chinese first authors.

This higher amount of US citations is also reflected by the h-index, calculated at the country level. The overall US-dataset has a h-index of 701 versus 193 for the Chinese set; the recent US-dataset reaches an h-index of 100 versus 64 for the Chinese dataset. The h-index of the complete dataset reaches 741 by mid February 2019, the h-index for the recent set reaches 114.

By the begin of 2019, there are 2087 Highly Cited papers (HCP) in management research in the Web of Science selection (written within 2009 and 2018); 1162 with a US author and 305 with a Chinese scholar. In the last 5 years, the US got 514 HCP and China 194. From the 39 hot papers (published in the last 2 years 2017/2018) in management research, 20 have a US participant and 9 a Chinese scholar.

# METHODOLOGY: A CLASSIFICATION OF PUBLICATIONS INTO CATEGORIES OF CITATIONS: THE qh-RATING AND THE $f^2$ -METHODOLOGY

Impactful articles are awarded more citations. In order to analyze into more details, the recent  $f^2$ -methodology is applied, based on the *gh*ent-rating, a classification of publications into categories defined by thresholds on number of citations (Fassin 2018). Besides the total number of publications, that determine productivity, the distribution of the top 10% is defined and the h-core; in a more advanced analysis, also the 10%, 5%, 1%, and the g-and  $h^2$ -core can be defined.

The fame-index or f²-index is founded on a specific rating system that takes into account the relative influence of the most important publications of the unit under study (country or university in the present study). The categories are defined on a mix of standard and htype percentiles. This rating system is comparable to the ratings in the financial sector such as Moody's and S&P ratings, designated by the symbols, AAA, AA, AB, BBB, etc. The principles behind those ratings rest upon an exponential increase of impact in function of the higher grades. In the present application, a simplified version is used with the field division into percentiles with three basic categories (A, B and C) and 2 sub-categories (AAA and BA). The field percentiles categories comprise the field's h-core (category A), the 10%-percentile defining category B and category C from the 10 to 25% percentiles, and the remaining category R; in addition, there is the h²-core (AAA) and the g-core (BA). The f²-index is calculated based on the most important publications of the unit under study: the number of articles taken into account are limited to the h(²)-core of the unit under study. The successive categories are a, b, c and r. Very highly cited articles (aaa) within the category 'AAA' are included under 'a', articles in the g-core 'ba' are included under 'b'.

The respective weighted factors follow a geometric sequence: 4, 2, 1, 0.5 and 0.25 for the successive categories aaa, a, b, c and r; the intermediate category ba is awarded 1.5. In its simplified version, the f<sup>2</sup>-index is thus defined as

$$f^2 = 2a + b + r/4 + 2aaa$$

The classification following the f²-index mitigates between the classical rankings based on productivity (number of papers) or on the total number of citations of those papers (Fassin 2018).

The f²-indicator is static. Bibliometric data evolve over time: citations are further accumulated over the years. In order to gain an overview on the recent evolution, bibliometric indicators can be calculated on the basis of the publications in the last five years. Applied to the f²-index, the 'recent' f²'-index is defined, different from the overall f²-classification. In a next step, a compound F²-index can be calculated as the sum of the overall f²-index and the five-year f²'-index, plus (for individual authors) the number of highly cited papers (HCP in the Web of Science), those papers of the last 10 years that are within the 1% of their field (Fassin 2020). This compound F²-index offers a complementary and more dynamic view.

# **RESULTS OF IN-DEPTH ANALYSIS**

## **Country Analysis**

A first analysis of the evolution of management publications in China versus USA is presented in Table 5 for the 2000 and overall 2018 data. It also calculates the percentages

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in both countries for the total number of publications, for the publications in the top 10% (B-category) and for the h-core (A-category).

Table 5: The Evolution of Publications in China versus USA

|       | 2000             | 2018             | 2000 | 2018  | 2000   | 2018   |
|-------|------------------|------------------|------|-------|--------|--------|
|       | all publications | all publications | 10%  | 10%   | h-core | h-core |
| World | 97592            | 441965           | 9759 | 44197 | 628    | 741    |
| USA   | 51179            | 132837           | 7726 | 27576 | 552    | 642    |
| China | 865              | 83923            | 94   | 2228  | 3      | 9      |
| USA   | 52.4             | 30.1             | 79.2 | 62.4  | 87.9   | 86.6   |
| China | 0.9              | 19.0             | 1.0  | 5.0   | 0.5    | 1.2    |

Whereas China participated to 19 percent of the cumulated publication volume compared to the 30 percent of the USA, the share of the Chinese publication in the top 10% drops to 5 percent and to 1.2 percent in the h-core of management research. The US share dominates with 62 percent of the top 10% and 87 percent of the h-core.

The data elucidate a substantial increase of the Chinese share between 2000 and 2018: from 1 to 19 percent overall, from 1 to 5 percent of the top 10% publications and from 0.5 to 1.2 percent of the h-core. But the US domination in citations and thus their impact remains obvious.

Chinese publications approach the US publications in publication volume in the last 5 years, collecting each around 20 percent of all publications. However, it is interesting to analyze the evolution in a more global perspective and also in comparison with other countries.

Table 6 presents the data for various categories 10%, 1%, g- h- and h²-core for 2018; the key figures for the recent 5-year sample, and in order to assess the evolution also some data with categories for the data until 2000 are included.

This allows to notice the expansion of the academic publication phenomenon. Indeed, only one quarter of the publications have been published in the XX<sup>th</sup> century, half of all articles in academic management research included in the WoS have been written since 2010, and one third in the last 5 years. China has started its major efforts in academic management research in the early 2000s.

Looking into more details, at the country level over the years, and in relative terms, as presented in Table 7, one can compare the evolution of the publications in number and in category (all, 10% and h-core) between 2000 and 2018, and for the recent 5 years.

The dominance of the Anglo-Saxon world in the early years, in the last decades of the XX<sup>th</sup> century is obvious. The USA with the UK, Canada and Australia group 70 percent of all publications, leaving continental Europe with 7.4 percent. China has only a participation of 0.9 percent somewhat more than Japan 0.7 percent, India, 0.8 percent, and double as Taiwan, Singapore and South-Korea. All these countries progress. Most of these countries doubled or tripled their share by 2018, but rather gradually in both the first and second decades. Also, continental Europe improved gradually from 7.4 percent in 2000, to 13.3 percent by 2010, and 21.1 percent by 2018. China did a huge catch up action in the first decade to reach 17 percent by 2010 and consolidated this effort around 20 percent in the

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last 5 years. Japan, on the contrary, did hardly increase from 0.8 to 1 percent, India and Taiwan towards 2 percent.

Table 6: The Citation Distribution of Publications per Country

|              | 2018   |       |      |      |     |    | 2013-<br>2018 | 5y    |     | 2000  |      |      |     |    |
|--------------|--------|-------|------|------|-----|----|---------------|-------|-----|-------|------|------|-----|----|
|              | 100    | 10    | 1    | g    | h   | h² | 100           | 10    | h   | 100   | 10   | g    | h   | h² |
|              | 441965 | 44197 | 4420 | 1132 | 741 | 53 | 152250        | 15225 | 114 | 97592 | 9759 | 1014 | 628 | 50 |
| USA          | 132837 | 27576 | 3518 | 965  | 642 | 49 | 31640         | 5852  | 63  | 51179 | 7726 | 883  | 552 | 45 |
| China        | 83923  | 2228  | 108  | 18   | 9   | -  | 30569         | 1940  | 7   | 865   | 94   | 3    | 3   | -  |
| Europe       | 139477 | 16829 | 1052 | 186  | 102 | 5  | 62843         | 10873 | 100 | 17882 | 1725 | 106  | 62  | 2  |
| Cont Europe  | 93115  | 10615 | 699  | 120  | 67  | 2  | 46059         | 7801  | 64  | 7190  | 934  | 68   | 39  | 1  |
| UK           | 46362  | 6214  | 353  | 66   | 35  | 3  | 16784         | 3072  | 36  | 10692 | 791  | 38   | 23  | 1  |
| Canada       | 18210  | 3475  | 368  | 90   | 59  | 4  | 5934          | 1061  | 8   | 4744  | 715  | 83   | 54  | 5  |
| Japan        | 4356   | 331   | 17   | 6    | 4   | 1  | 1456          | 143   | 1   | 648   | 45   | 6    | 4   | 1  |
| Australia    | 16493  | 1918  | 101  | 23   | 16  | 2  | 7570          | 1198  | 5   | 1702  | 201  | 14   | 6   | 2  |
| Russia       | 3456   | 40    | 4    | -    | -   | -  | 2959          | 55    | -   | 74    | 4    | 1    | -   | -  |
| Israel       | 3988   | 635   | 55   | 16   | 7   | -  | 1028          | 124   | 2   | 1462  | 185  | 14   | 9   |    |
| India        | 7950   | 292   | 15   | 1    | 1   | -  | 5382          | 316   | -   | 802   | 33   | -    | -   | -  |
| New Zealand  | 3900   | 472   | 20   | 4    | 3   | -  | 1685          | 226   | 4   | 498   | 61   | 3    | 1   | -  |
| Taiwan       | 9528   | 931   | 38   | 5    | 2   | -  | 3471          | 417   | 2   | 384   | 38   | 1    | -   | -  |
| Singapore    | 4252   | 687   | 37   | 10   | 9   | -  | 1853          | 373   | 1   | 346   | 51   | 6    | 2   | -  |
| South Korea  | 5377   | 562   | 41   | 7    | 7   | 1  | 2684          | 297   | 1   | 341   | 56   | 1    | 1   | -  |
| South Africa | 3551   | 91    | 4    | -    | -   | -  | 1860          | 103   | 1   | 205   | 9    | -    | -   | -  |
| Turkey       | 4011   | 262   | 12   | 1    | -   | -  | 1976          | 178   | 1   | 157   | 21   | -    | -   | -  |
| Brazil       | 3958   | 99    | 6    | 1    | 1   | -  | 2774          | 141   | 2   | 144   | 9    | -    | -   | -  |
| Malaysia     | 5628   | 100   | 2    | -    | -   | -  | 3421          | 162   | 2   | 55    | 2    | -    | -   | _  |
| Germany      | 14001  | 1388  | 85   | 12   | 5   | -  | 7151          | 1229  | 8   | 726   | 77   | 4    | 3   | -  |
| Netherlands  | 11424  | 1981  | 141  | 29   | 15  | -  | 4465          | 975   | 15  | 1302  | 194  | 18   | 10  | -  |
| France       | 10567  | 1149  | 111  | 20   | 12  | 2  | 5277          | 778   | 6   | 1071  | 182  | 14   | 9   | 1  |
| Italy        | 9344   | 792   | 34   | 3    | 1   | -  | 5628          | 862   | 4   | 485   | 63   | 2    | 1   | -  |
| Spain        | 9318   | 958   | 55   | 9    | 7   | -  | 4962          | 792   | 4   | 287   | 39   | 2    | 1   | _  |
| Sweden       | 5929   | 701   | 63   | 8    | 4   | -  | 2855          | 480   | 2   | 614   | 87   | 8    | 4   | -  |
| Finland      | 5059   | 547   | 27   | 2    | 2   | -  | 2529          | 428   | 3   | 372   | 48   | 4    | 1   |    |
| Switzerland  | 4521   | 604   | 43   | 14   | 7   | -  | 2108          | 454   | 3   | 427   | 36   | 6    | 4   | -  |
| Denmark      | 4405   | 555   | 39   | 6    | 4   | -  | 2228          | 442   | 6   | 327   | 43   | 2    | 2   | -  |
| Belgium      | 4074   | 644   | 33   | 8    | 4   | -  | 1646          | 350   | 2   | 585   | 82   | 6    | 3   |    |
| Norway       | 3870   | 417   | 17   | 3    | 2   | -  | 1828          | 303   | 3   | 370   | 31   | 1    | _   |    |

When analyzing further in categories, a different picture emerges: Europe reaches 38 percent of the publications in the top 10% (24% for continental Europe and 14% for the UK), more than double of the 2000 data. In comparison the USA take 62 percent of the top 10% and 87 percent of the h-core. The European part in the h-core drops to 9 percent, while 6 percent in 2000. China's part in the top 10% increased from 1 to 5 percent, and doubled in the h-core from 0.5 to 1.2 percent. This is behind Australia (2.2%), the

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Netherlands (2%) and France (1.6%), equal to Singapore and before South-Korea and Spain, Israel and Switzerland (0.9%).

In the last 5 years, the gradual improvement in impact is pursued with 12, 7 percent of the top 10% publications, and 6 percent of the h-core. These improvements in impact of the Chinese publications are the results of the tremendous effort of the early 2000s.

Table 7: The Evolution of the Citation Distribution of Publications per Country

|              | 2000  | 2010   | 2018   | 2000 | 2010 | 2018 | 2000 | 2018 | 2000 | 2018 | 2013-<br>2018 |      | 5y   |
|--------------|-------|--------|--------|------|------|------|------|------|------|------|---------------|------|------|
|              | 100   | 100    | 100    | 100  | 100  | 100  | 10   | 10   | h    | h    | 100           | 10   | h    |
| World        | 97592 | 228315 | 441965 | -    | -    | -    | -    | -    | -    | -    | -             | -    | -    |
| USA          | 51179 | 86879  | 132837 | 52.4 | 38.1 | 30.1 | 79.2 | 62.4 | 87.9 | 86.6 | 20.8          | 38.4 | 55.3 |
| China        | 865   | 39664  | 83923  | 0.9  | 17.4 | 19.0 | 1.0  | 5.0  | 0.5  | 1.2  | 20.1          | 12.7 | 6.1  |
| Europe       | 17882 | 52599  | 139477 | 18.3 | 23.0 | 31.6 | 17.7 | 38.1 | 9.9  | 13.8 | 41.3          | 71.4 | 87.7 |
| Cont Europe  | 7190  | 30271  | 93115  | 7.4  | 13.3 | 21.1 | 9.6  | 24.0 | 6.2  | 9.0  | 30.3          | 51.2 | 56.1 |
| UK           | 10692 | 22328  | 46362  | 11.0 | 9.8  | 10.5 | 8.1  | 14.1 | 3.7  | 4.7  | 11.0          | 20.2 | 31.6 |
| Canada       | 4744  | 9833   | 18210  | 4.9  | 4.3  | 4.1  | 7.3  | 7.9  | 8.6  | 8.0  | 3.9           | 7.0  | 7.0  |
| Japan        | 648   | 1564   | 4356   | 0.7  | 0.7  | 1.0  | 0.5  | 0.7  | 0.6  | 0.5  | 1.0           | 0.9  | 0.9  |
| Australia    | 1702  | 6355   | 16493  | 1.7  | 2.8  | 3.7  | 2.1  | 4.3  | 1.0  | 2.2  | 5.0           | 7.9  | 4.4  |
| Russia       | 74    | 788    | 3456   | 0.1  | 0.3  | 0.8  | 0.0  | 0.1  | -    | -    | 1.9           | 0.4  | -    |
| Israel       | 1462  | 1108   | 3988   | 1.5  | 0.5  | 0.9  | 1.9  | 1.4  | 1.4  | 0.9  | 0.7           | 0.8  | 1.8  |
| India        | 802   | 2278   | 7950   | 0.8  | 1.0  | 1.8  | 0.3  | 0.7  | -    | 0.1  | 3.5           | 2.1  | -    |
| New Zealand  | 498   | 1031   | 3900   | 0.5  | 0.5  | 0.9  | 0.6  | 1.1  | 0.2  | 0.4  | 1.1           | 1.5  | 3.5  |
| Taiwan       | 384   | 3638   | 9528   | 0.4  | 1.6  | 2.2  | 0.4  | 2.1  | -    | 0.3  | 2.3           | 2.7  | 1.8  |
| Singapore    | 346   | 1438   | 4252   | 0.4  | 0.6  | 1.0  | 0.5  | 1.6  | 0.3  | 1.2  | 1.2           | 2.4  | 0.9  |
| South Korea  | 341   | 1851   | 5377   | 0.3  | 0.8  | 1.2  | 0.6  | 1.3  | 0.2  | 0.9  | 1.8           | 2.0  | 0.9  |
| South Africa | 205   | 957    | 3551   | 0.2  | 0.4  | 0.8  | 0.1  | 0.2  | -    | -    | 1.2           | 0.7  | 0.9  |
| Turkey       | 157   | 1108   | 4011   | 0.2  | 0.5  | 0.9  | 0.2  | 0.6  | -    | -    | 1.3           | 1.2  | 0.9  |
| Brazil       | 144   | 1054   | 3958   | 0.1  | 0.5  | 0.9  | 0.1  | 0.2  | -    | 0.1  | 1.8           | 0.9  | 1.8  |
| Malaysia     | 55    | 2121   | 5628   | 0.1  | 0.9  | 1.3  | 0.0  | 0.2  | -    | -    | 2.2           | 1.1  | 1.8  |
| Germany      | 726   | 4992   | 14001  | 0.7  | 2.2  | 3.2  | 0.8  | 3.1  | 0.5  | 0.7  | 4.7           | 8.1  | 7.0  |
| Netherlands  | 1302  | 4288   | 11424  | 1.3  | 1.9  | 2.6  | 2.0  | 4.5  | 1.6  | 2.0  | 2.9           | 6.4  | 13.2 |
| France       | 1071  | 3701   | 10567  | 1.1  | 1.6  | 2.4  | 1.9  | 2.6  | 1.4  | 1.6  | 3.5           | 5.1  | 5.3  |
| Italy        | 485   | 2558   | 9344   | 0.5  | 1.1  | 2.1  | 0.6  | 1.8  | 0.2  | 0.1  | 3.7           | 5.7  | 3.5  |
| Spain        | 287   | 2496   | 9318   | 0.3  | 1.1  | 2.1  | 0.4  | 2.2  | 0.2  | 0.9  | 3.3           | 5.2  | 3.5  |
| Sweden       | 614   | 2129   | 5929   | 0.6  | 0.9  | 1.3  | 0.9  | 1.6  | 0.6  | 0.5  | 1.9           | 3.2  | 1.8  |
| Finland      | 372   | 1741   | 5059   | 0.4  | 0.8  | 1.1  | 0.5  | 1.2  | 0.2  | 0.3  | 1.7           | 2.8  | 2.6  |
| Switzerland  | 427   | 1694   | 4521   | 0.4  | 0.7  | 1.0  | 0.4  | 1.4  | 0.6  | 0.9  | 1.4           | 3.0  | 2.6  |
| Denmark      | 327   | 1612   | 4405   | 0.3  | 0.7  | 1.0  | 0.4  | 1.3  | 0.3  | 0.5  | 1.5           | 2.9  | 5.3  |
| Belgium      | 585   | 1434   | 4074   | 0.6  | 0.6  | 0.9  | 0.8  | 1.5  | 0.5  | 0.5  | 1.1           | 2.3  | 1.8  |
| Norway       | 370   | 1025   | 3870   | 0.4  | 0.4  | 0.9  | 0.3  | 0.9  | -    | 0.3  | 1.2           | 2.0  | 2.6  |

Table 8 shows the distribution of the publications in categories following the  $f^2$ -methodology for 2018 (in reduced form (100, 10 and h), the h, h2 and h3-indexes of each country in this dataset, and the (simplified)  $f^2$ -index, calculated as the weighted sum of the articles in the countries'  $h(^2)$ -core; and similar data for the recent 5 years, the  $f^2$ -index and the compound  $F^2$ -index, sum of  $f^2$  and  $f^2$ .

Table 8: h-index and h-related Indexes (h1, h2, h3, f2) per Country, Cumulated and Recent

|             |       |     |    | 2018 |    |    |     |       |     |    | 5 yrs |     |     |     |                |
|-------------|-------|-----|----|------|----|----|-----|-------|-----|----|-------|-----|-----|-----|----------------|
|             | 10    | h   | h² | h1   | h2 | h3 | f²  | 10    | h   | h² | h1'   | h2' | h3' | f²' | F <sup>2</sup> |
| World       | 44197 | 741 | 53 | 741  | 53 | 16 | 212 | 15225 | 114 | 7  | 114   | 16  | 7   | 46  | 258            |
| USA         | 27576 | 642 | 49 | 706  | 52 | 16 | 202 | 5852  | 63  | 2  | 103   | 14  | 6   | 32  | 234            |
| China       | 2228  | 9   |    | 195  | 22 | 9  | 31  | 1940  | 7   | -  | 65    | 10  | 5   | 17  | 48             |
| Europe      | 16829 | 102 | 5  | 382  | 32 | 12 | 74  | 8247  | 81  | 6  | 100   | 14  | 6   | 40  | 114            |
| Cont Europe | 10615 | 67  | 2  | 337  | 30 | 11 | 64  | 6384  | 64  | 4  | 91    | 13  | 6   | 34  | 98             |
| UK          | 6214  | 35  | 3  | 282  | 28 | 11 | 62  | 3072  | 36  | 2  | 82    | 13  | 6   | 30  | 92             |
| Canada      | 3475  | 59  | 4  | 293  | 32 | 12 | 72  | 1061  | 8   | -  | 63    | 10  | 5   | 18  | 90             |
| Australia   | 1918  | 16  | 2  | 184  | 24 | 9  | 44  | 1198  | 5   | 1  | 59    | 10  | 5   | 15  | 59             |
| Japan       | 331   | 4   | 1  | 93   | 16 | 7  | 22  | 143   | 1   | -  | 28    | 7   | 4   | 8   | 30             |
| India       | 292   | 1   | -  | 90   | 15 | 6  | 16  | 316   | -   | -  | 35    | 8   | 4   | 8   | 24             |
| Turkey      | 262   | -   | -  | 88   | 11 | 6  | 11  | 178   | 1   | -  | 28    | 7   | 4   | 8   | 19             |
| Brazil      | 99    | 1   | -  | 54   | 12 | 6  | 13  | 141   | 2   | -  | 28    | 7   | 3   | 9   | 22             |
| Malaysia    | 100   | -   | -  | 57   | 11 | 5  | 11  | 162   | 2   | -  | 28    | 7   | 4   | 9   | 20             |
| Russia      | 40    | -   | -  | 39   | 10 | 5  | 10  | 55    | -   | -  | 18    | 5   | 3   | 5   | 15             |
| Netherlands | 1981  | 15  | -  | 205  | 25 | 9  | 40  | 975   | 15  | 1  | 60    | 11  | 5   | 24  | 64             |
| France      | 1149  | 12  | 2  | 178  | 22 | 9  | 38  | 778   | 6   | 1  | 54    | 10  | 5   | 18  | 56             |
| Germany     | 1388  | 5   | -  | 171  | 21 | 8  | 26  | 1229  | 8   | 3  | 60    | 10  | 5   | 24  | 50             |
| Spain       | 958   | 7   | -  | 147  | 20 | 8  | 27  | 792   | 4   | -  | 51    | 9   | 4   | 13  | 40             |
| Belgium     | 644   | 4   | -  | 126  | 19 | 8  | 23  | 350   | 2   | 1  | 39    | 9   | 4   | 13  | 36             |
| Italy       | 792   | 1   | -  | 133  | 19 | 7  | 20  | 862   | 4   | -  | 50    | 9   | 4   | 13  | 33             |

The results of the f²-index elucidate the immense historical advance of the US, that are followed by Canada, continental Europe and the United Kingdom. By 2018, China leaps behind Australia, the Netherlands and France, somewhat approaching Japan, but precedes Switzerland, Israel, Singapore, Spain, Germany. For the recent year f²'-index, Europe has overpassed the USA. The UK and the Netherlands, Germany, Denmark, Canada, France, are still before China. The compound F²-index is in line with the f²-index, but underlines the progress of some countries, especially China that narrows the gap with the preceding countries.

A ranking established on the basis of this f²-index displays significant differences with the ranking based on the number of publications. While China is second in volume productivity, and approaches Continental Europe; China appears only 8th in the f² and F²-ranking, just behind France and Germany, before Japan, Spain, Switzerland and Denmark. In recent years, where the number of Chinese publications reach the US number, China's f²'-index stays in the regions of Canada, France and Denmark. This more fine-grained analysis based on the f²-methodology nuances the progress of Chinese management scholars that volume productivity tends to forecast.

# The Type of Publications

The type of publication sheds a complementary light on the results of the analysis, presented in Table 9. About 90 percent of all publications worldwide are constituted of articles (58%) or proceeding papers (32%); the remaining 10 percent include review articles

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(less than 2%), book reviews (5%), editorials (3.4%), and book chapters, meeting abstracts, various letters and notes. In the last 5 years, the part of proceeding papers dropped somewhat to 29 percent for 66 percent articles. The distribution among types of publication differs when taking the top 10% of most cited publications: in this selection, 90 percent of the publications are articles, 7 percent review articles and 5 percent proceedings; within the h-core, 80 percent of the publications are articles, 18 percent reviews and 4 percent proceedings.

Table 9: Type of Publications China versus USA

|                    |        |        |       | 10%   |       |       | h-core |     |       |
|--------------------|--------|--------|-------|-------|-------|-------|--------|-----|-------|
| All years n        | World  | USA    | China | World | USA   | China | World  | USA | China |
|                    | 441195 | 132837 | 83923 | 44197 | 27520 | 935   | 741    | 638 | 10    |
| Article            | 253124 | 103715 | 17730 | 39888 | 24775 | 858   | 587    | 510 | 7     |
| Proceedings Paper  | 142219 | 10699  | 65651 | 2364  | 1302  | 32    | 32     | 25  | -     |
| Book review        | 22166  | 7269   | 82    | 1     | 1     | -     | -      | -   | -     |
| Editorial material | 14783  | 6025   | 358   | 628   | 382   | 14    | 13     | 1   | -     |
| Review             | 8089   | 3510   | 382   | 3169  | 1973  | 62    | 131    | 108 | 3     |
| Meeting Abstract   | 3159   | 1302   | 112   | 1     | -     | -     | -      | -   | -     |
| Note               | 2767   | 2016   | 3     | 402   | 344   | -     | 10     | 9   | -     |
| Letter             | 2172   | 1362   | 10    | 9     | 7     | -     | -      | -   | -     |
| Correction         | 706    | 156    | 49    | -     | -     | -     | -      | -   | -     |
| Book Chapter       | 490    | 373    | 17    | 40    | 33    | -     | -      | -   | -     |
|                    |        |        |       | 10%   |       |       | h-core |     |       |
| Recent 5 years n   | World  | USA    | China | World | USA   | China | World  | USA | China |
|                    | 152250 | 31640  | 30570 | 15225 | 5878  | 1967  | 114    | 62  | 7     |
| Article            | 99690  | 27833  | 11026 | 14084 | 5409  | 1888  | 94     | 53  | 7     |
| Proceedings Paper  | 43636  | 1056   | 19144 | 290   | 52    | 38    | 1      | 1   | -     |
| Book review        | 1623   | 414    | 31    | -     | -     | -     | -      | -   | -     |
| Editorial material | 4134   | 1492   | 203   | 237   | 142   | 13    | 2      | 2   | -     |
| Review             | 2970   | 774    | 204   | 748   | 262   | 47    | 18     | 7   | -     |
| Meeting Abstract   | 865    | 229    | 70    | -     | -     | -     | -      | -   | -     |
| Book Chapter       | 299    | 236    | 14    | 61    | 52    | 3     | 1      | 1   | -     |

Those distribution strongly differ between the US and Chinese publications. The US counted 78 percent articles and 8 percent proceeding papers, while China got 21 percent articles and 78 percent proceeding papers. In the recent 5 years, the part of the proceeding papers dropped to 3 percent in the US and towards 63 percent in China. The part of articles in China increased from 21 percent to 36 percent. The higher portion of proceedings papers that do not get as many citations as articles explains the lower percentage of Chinese publications within the top 10% or h-core, despite equal numbers of total publications in the last 5 years.

# The Universities

How do Chinese universities perform in management research? Additional information at the level of the universities is provided by an analysis within each of the major categories, overall total, top 10%, and h-core. The results are displayed in Table 10a.

Table 10a: Publication Volume per University, Total, Top 10%, and h-core

| University              | Total | University             | 10%  | University             | h 741 |
|-------------------------|-------|------------------------|------|------------------------|-------|
| Wuhan Univ Technol      | 3845  | Mass Int Tech          | 1197 | Mass Int Tech          | 53    |
| Mass Int Tech           | 3699  | Northwestern Univ      | 1055 | Harvard Univ           | 45    |
| Northwestern Univ       | 3346  | Univ Penn              | 966  | Univ Penn              | 40    |
| Univ Penn               | 2686  | Harvard Univ           | 903  | Northwestern Univ      | 34    |
| Cornell Univ            | 2677  | Univ Minnesota         | 888  | Stanford Univ          | 34    |
| Harbin Inst Technol     | 2661  | Michigan State Univ    | 769  | Univ Minnesota         | 30    |
| Harvard Univ            | 2625  | Univ Texas             | 758  | Univ Michigan          | 23    |
| Univ Minnesota          | 2605  | Univ Michigan          | 745  | Univ Texas             | 21    |
| Erasmus Univ            | 2566  | Cornell Univ           | 730  | Texas A M Univ         | 20    |
| Univ Michigan           | 2426  | Stanford Univ          | 729  | Univ Chicago           | 19    |
| Univ Illinois           | 2348  | Univ Maryland          | 690  | Ohio State Univ        | 18    |
| Penn State Univ         | 2310  | Univ Illinois          | 678  | Univ Calif Berkeley    | 18    |
| Michigan State Univ     | 2177  | Indiana Univ           | 621  | Univ Maryland          | 18    |
| Univ Toronto            | 2130  | Penn State Univ        | 617  | Penn State Univ        | 17    |
| Stanford Univ           | 2099  | Texas A M Univ         | 599  | Columbia Univ          | 16    |
| Univ Maryland           | 2084  | New York Univ          | 594  | New York Univ          | 16    |
| Univ Lancaster          | 2033  | Univ N Carolina        | 586  | Univ Illinois          | 16    |
| Univ Wisconsin          | 2021  | Arizona State Univ     | 583  | Indiana Univ           | 15    |
| Univ Manchester         | 2018  | Univ Calif Los Angeles | 575  | Arizona State Univ     | 14    |
| Arizona State Univ      | 1982  | Columbia Univ          | 557  | Carnegie Mellon Univ   | 14    |
| Indiana Univ            | 1960  | Erasmus Univ           | 536  | Cornell Univ           | 14    |
| Purdue Univ             | 1958  | Purdue Univ            | 520  | Univ Calif Los Angeles | 14    |
| New York Univ           | 1957  | Ohio State Univ        | 515  | Florida State Univ     | 13    |
| Texas A M Univ          | 1957  | Univ Wisconsin         | 497  | Michigan State Univ    | 13    |
| Hong Kong Polytech Univ | 1915  | Univ Calif Berkeley    | 469  | Purdue Univ            | 12    |

The overall data bring Wuhan University of Technology as the most productive institution by 2018, overpassing MIT and Northwestern University. Harbin Institute of Technology comes in the 6<sup>th</sup> position. However, they have only a few papers within the top 10% (3 for Wuhan and 15 for Harbin), and none in the h-core; the same phenomenon applies for Beijing Jiaotong, Zhejiang and Huazhong Universities. By 2018, no Chinese university gets into the overall top 25 in the top 10% and the h-core, dominated by the American universities MIT, Harvard, the University of Pennsylvania Northwestern, Stanford and Minnesota. In the h²-core, 5 of the 53 articles are co-authored by Stanford researchers, 4 by Harvard, Chicago and Maryland University.

The situation in the recent 5 years (Table 10b) acknowledges the trend of the improvement of the Chinese universities: Wuhan University of Technology ends as the most productive institution before two European institutions, Bucharest University of Economic Studies and Erasmus University. Then follow two Chinese institutions, the Hong Kong Polytechnic University and Beijing Jiaotong University; also two universities from Singapore join the top 10%. The Hong Kong Polytechnic University comes also in 6<sup>th</sup> position in the top 10% and City University Hong Kong on 13<sup>th</sup> position, while the National University of Singapore joins the top 10¹.

 $<sup>^{1}</sup>$  The figures for the detailed analysis at the university level are somewhat different than in the first part of the study, as they were extracted from the WoS on 1<sup>st</sup> April 2019, some 6 weeks later than the original search.

Table 10b: Recent Publication Volume per University, Total, Top 10%, h-core and h<sup>2</sup>--core

| University 5 years          | Total | University 5 years      | 10% | University 5 years        | h 114 |
|-----------------------------|-------|-------------------------|-----|---------------------------|-------|
| Wuhan Univ Technol          | 1102  | Erasmus Univ            | 247 | Harvard Univ              | 5     |
| Bucharest Univ Econ Studies | 1006  | Univ Penn               | 177 | Univ Groningen            | 5     |
| Erasmus Univ                | 994   | Univ Lancaster          | 173 | Univ Penn                 | 5     |
| Hong Kong Polytech Univ     | 811   | Copenhagen Business Sch | 172 | Boston Coll               | 4     |
| Beijing Jiaotong Univ       | 805   | Mass Int Tech           | 167 | Erasmus Univ              | 4     |
| Univ Lancaster              | 773   | Hong Kong Polytech Univ | 164 | Mass Int Tech             | 4     |
| Copenhagen Business Sch     | 770   | Arizona State Univ      | 163 | Univ Calif Berkeley       | 4     |
| Cornell Univ                | 734   | Harvard Univ            | 155 | Univ London Imperial Coll | 4     |
| Natl Univ Singapore         | 671   | Cornell Univ            | 154 | Arizona State Univ        | 3     |
| Mass Int Tech               | 655   | Natl Univ Singapore     | 150 | Univ Magdeburg            | 3     |
| Univ Toronto                | 646   | Indiana Univ            | 148 | Univ Newcastle            | 3     |
| Tsinghua Univ               | 636   | Northwestern Univ       | 147 | Univ Nova Lisboa          | 3     |
| Monash Univ                 | 633   | City Univ Hong Kong     | 146 | Univ Washington           | 3     |
| Univ Manchester             | 610   | Univ Michigan           | 146 | York Univ                 | 3     |
| Singapore Management Univ   | 609   | Univ Toronto            | 140 | 35 Institutions           | 2     |

Table 11 presents some more details on the distribution of the publications of the top universities, and the major Chinese institutions. They include the total number of publications, the number of publications in the top 10%, in the h-core and  $h^2$ -core of the dataset; and the total, top 10% and h-core of the recent dataset of the last 5 years. Table 11 also includes some recent indexes following the  $f^2$ -methodology (Fassin 2018): the  $f^2$ -index calculated on the most cited publications, within the  $h(^2)$ -core of each institution, with different weights for different categories; the  $f^2$ -index for the recent last 5 years dataset, and the  $F^2$  compound  $f^2$ -index, sum of the  $f^2$  and  $f^2$ .

The analysis provides clear information: the US top universities largely dominate in terms of impact, thanks to a larger partition of articles in the top 10%, and in the h-core, which results in a higher  $h(^2)$ -index for the university and consequently a higher  $f^2$ -index. Harvard, MIT, Stanford, Northwestern and the University of Pennsylvania are leading in impact of academic management research. Toronto and Erasmus University are the leading Canadian and European universities but far behind the US. The Hong Kong universities follow, with double of the  $f^2$ -index of the major Chinese universities. The explanation lies of course in the longer tradition of publication of US universities and business schools, and in the presence of a larger number of seminal works situated in the top cited articles written by American professors.

The f²'-index applied to the recent publications, during the last 5 years, offers a complementary view on the situation. It shows who now counts in management research. The data show that Harvard, MIT and Stanford still dominate academic management research, but Canadian and European universities follow, and are situated in the group of the top US institutions. In the recent years, the Chinese universities approach the sub-top and the major Chinese universities Tsinghua and Zhejiang equalize with the Hong-Kong universities.

#### The Emergence of China in International Academic Management Research

Table 11: Citation Distribution and h-related Indexes per University, Cumulated and Recent

|                         |      |      |    |    |     |    |    |      | 5 yrs |    |   |    |     |     |      |                |
|-------------------------|------|------|----|----|-----|----|----|------|-------|----|---|----|-----|-----|------|----------------|
| University              | 100  | 10   | h  | h² | h   | h2 | h3 | f²   | 100   | 10 | h | h' | h2' | h3' | f²'  | F <sup>2</sup> |
| Harvard Univ            | 2626 | 910  | 45 | 4  | 212 | 30 | 11 | 94.5 | 428   | 34 | 4 | 40 | 9   | 5   | 22   | 116.5          |
| Mass Int Tech           | 3702 | 1194 | 53 | 3  | 246 | 31 | 11 | 88.0 | 658   | 25 | 4 | 36 | 9   | 4   | 18.5 | 106.5          |
| Stanford Univ           | 2095 | 726  | 34 | 5  | 186 | 28 | 11 | 86.5 | 431   | 13 | 2 | 30 | 8   | 4   | 18.5 | 105.0          |
| Northwestern Univ       | 3350 | 1050 | 34 | 3  | 202 | 28 | 11 | 83.5 | 599   | 19 | 2 | 31 | 7   | 4   | 13.5 | 97.0           |
| Univ Penn               | 2688 | 969  | 40 | 2  | 213 | 29 | 11 | 82.0 | 540   | 30 | 5 | 32 | 7   | 4   | 10.8 | 92.8           |
| Univ Michigan           | 2426 | 750  | 23 | 2  | 184 | 26 | 10 | 74.3 | 540   | 14 | 0 | 32 | 7   | 4   | 12.5 | 86.8           |
| Univ Minnesota          | 2606 | 887  | 30 | 1  | 191 | 27 | 10 | 72.5 | 583   | 17 | 1 | 30 | 8   | 4   | 14   | 86.5           |
| Univ Maryland           | 2086 | 686  | 18 | 4  | 175 | 25 | 9  | 69.3 | 415   | 13 | 1 | 39 | 7   | 3   | 14   | 83.3           |
| Penn State Univ         | 2311 | 623  | 17 | 0  | 166 | 23 | 9  | 55.8 | 606   | 22 | 0 | 34 | 8   | 4   | 12.3 | 68.0           |
| Univ Illinois           | 2345 | 679  | 16 | 0  | 172 | 23 | 9  | 53.8 | 572   | 21 | 1 | 31 | 8   | 4   | 14.8 | 68.5           |
| Cornell Univ            | 2678 | 732  | 14 | 0  | 169 | 23 | 9  | 53.5 | 736   | 20 | 1 | 31 | 7   | 3   | 14.5 | 68.0           |
| Michigan State Univ     | 2177 | 763  | 13 | 0  | 173 | 23 | 9  | 51.5 | 515   | 20 | 2 | 31 | 8   | 4   | 16.3 | 67.8           |
| Univ Toronto            | 2130 | 467  | 8  | 0  | 126 | 20 | 8  | 40.5 | 646   | 18 | 1 | 32 | 8   | 4   | 15   | 55.5           |
| Erasmus Univ            | 2569 | 546  | 3  | 0  | 128 | 18 | 7  | 30.5 | 997   | 28 | 3 | 36 | 8   | 4   | 16.8 | 47.3           |
| Hong Kong Univ Sci Tech | 1127 | 369  | 4  | 0  | 119 | 18 | 7  | 33.3 | 318   | 11 | 1 | 25 | 7   | 4   | 11.3 | 44.5           |
| Chinese Univ Hong Kong  | 1262 | 294  | 4  | 0  | 104 | 18 | 7  | 31.3 | 436   | 11 | 0 | 24 | 7   | 3   | 10.5 | 41.8           |
| City Univ Hong Kong     | 1624 | 306  | 2  | 0  | 99  | 17 | 7  | 27.8 | 591   | 17 | 1 | 30 | 7   | 4   | 12.5 | 40.3           |
| Hong Kong Polytech Univ | 1914 | 347  | 3  | 0  | 103 | 16 | 7  | 27.3 | 809   | 15 | 0 | 34 | 6   | 4   | 9.5  | 36.8           |
| Shanghai Jiao Tong Univ | 1105 | 69   | 0  | 0  | 50  | 10 | 5  | 13.4 | 511   | 7  | 0 | 24 | 6   | 4   | 9    | 22.4           |
| Tsinghua Univ           | 1268 | 86   | 0  | 0  | 53  | 10 | 5  | 13.2 | 639   | 9  | 0 | 31 | 7   | 3   | 13   | 26.2           |
| Dalian Univ Technol     | 1129 | 26   | 1  | 0  | 32  | 8  | 4  | 12.1 | 356   | 3  | 0 | 18 | 5   | 3   | 7.2  | 19.2           |
| Zhejiang Univ           | 1552 | 40   | 0  | 0  | 39  | 9  | 5  | 11.8 | 550   | 11 | 0 | 23 | 7   | 4   | 10.5 | 22.3           |
| Fudan Univ              | 718  | 45   | 0  | 0  | 39  | 9  | 5  | 11.8 | 351   | 6  | 0 | 24 | 6   | 3   | 9    | 20.8           |
| Huazhong Univ Sci Tech  | 1324 | 34   | 0  | 0  | 36  | 9  | 4  | 11.4 | 368   | 8  | 0 | 23 | 6   | 3   | 9.3  | 20.7           |
| Harbin Inst Technol     | 2663 | 15   | 0  | 0  | 27  | 8  | 4  | 9.8  | 581   | 3  | 0 | 16 | 5   | 3   | 7.2  | 16.9           |
| Beijing Jiaotong Univ   | 1869 | 17   | 0  | 0  | 25  | 8  | 4  | 9.3  | 806   | 3  | 0 | 17 | 6   | 3   | 8.5  | 17.8           |
| Wuhan Univ              | 1673 | 20   | 0  | 0  | 31  | 7  | 4  | 8.3  | 354   | 3  | 0 | 16 | 5   | 3   | 7.2  | 15.4           |
| Wuhan Univ Tech         | 3858 | 3    | 0  | 0  | 14  | 5  | 3  | 3.3  | 1115  | 1  | 0 | 6  | 3   | 2   | 4    | 7.3            |

The compound F²-index confirms the leadership of the US universities, that benefit from their longevity and historic advance. But this compound index allows to inform on the dynamics in this citation phenomenon. Ranking the institution on the overall f²-index and on the compound F²-index allows to identify the improvers. Dynamic universities gradually progress and can overtake the universities that have not sustained in their research. This analysis clearly demonstrates that Chinese universities that dominate in productivity gradually also progress in quality and impact. An exception that merits some more attention is the strange evolution of Wuhan University of Technology that is leader in productivity based on WoS publications, but that remains outside the top 1000 in impact.

#### The Publications Outlets in Management Research

A further analysis investigates the publication outlets of US and Chinese management scholars. Table 12a and 12b display important differences in publications outlet between both, listing

Table 12a lists the major journals and for each the number of total articles for all years and the number of articles in the last 5 years, then the articles for the USA and China; each of these sets are completed with the number within the top 10% of citations, and those within the h-core. That list includes a majority of FT-listed journals, especially those that achieve higher 10% and h-core status, also for the Chinese authors. *Management Science* has the largest number of contributions in the US, the *European Journal of Operational Research* (EJOR) in China; in the top 10% selection, the *Academy of Management Journal* (AMJ) leads in the US, in China EJOR also before *Energy Policy*; in the h-core, the *Academy of Management Journal*, the *Academy of Management Review* and the *Strategic Management Journal* lead in the US; AMJ and EJOR lead in China.

A few other management journals attract most publications but with low amount in the 10% and none in the h-core yet: the *Journal of Political Analysis and Management* and the *Journal of Portfolio Management*; other journals, *Energy Policy*, the *International Journal of Human Resources*, the *Journal of Business Research*, and the *Journal of Business Ethics* present a higher percentage of top 10% articles of Chinese authors (due to the lower hindex of the Chinese publications).

Table 12b shows the most important outlets of China's publications: 9 of the top 10 title sources are proceedings, with only one journal in 9<sup>th</sup> position, the *European Journal of Operational Research.*; hardly 2 per mille reach the top 10% in citations; US authors do not publish 1% of those mainly Chinese conference proceedings. The low f²-index of the most productive institution, Wuhan University of Technology and Harbin Institute of Technology can be explained by a high proportion of non-cited proceedings articles.

Table 12b: The Citation Distribution of China's Publication Outlets in Academic Management Research

|  | China |     | USA |
|--|-------|-----|-----|
| Journal/Proceedings  | 100   | 10% | 100 |
| Lecture Notes in Management Science  | 2804  | 1   | 4   |
| Advances in Social Science Education and Humanities Research                       | 2672  | 5   | 21  |
| Advances in Intelligent Systems Research   | 2484  | 7   | 5   |
| AEBMR Advances in Economics Business and Management Research                       | 2227  | 6   | 10  |
| International Conference on Industrial Engineering and Engineering Management IEEM | 1361  | 8   | 12  |
| EBM 2010 International Conference on Engineering and Business Management           | 1350  | 2   | -   |
| International Conference on Management Science and Engineering Annual Conference   |       |     |     |
| Proceedings  | 1273  | 2   | 6   |
| ACSR Advances in Comptuer Science Research   | 1065  | 2   | 1   |
| Proceedings of the 2012 International Conference on Management Innovation and      |       |     |     |
| Public Policy ICMIPP   | 821   | 1   | -   |
| International Conference on Engineering and Business Management EBM 2011           | 789   | -   |     |

Table 12a: The Citation Distribution of Publication Outlets in Acacemic Management Research

| All years  | 100    | 10    | h   | h² | 5 years<br>100 | 10    | h   | USA  |      | China |     |     |    |
|--|--------|-------|-----|----|----------------|-------|-----|------|------|-------|-----|-----|----|
| Journal Title                                      | 441965 | 44197 | 741 | 53 | 152250         | 15225 | 114 | 100  | 10%  | h     | 100 | 10% | h  |
| Management Science                                 | 7107   | 2265  | 51  | 5  | 567            | 259   | 2   | 5113 | 912  | 42    | 228 | 131 | -  |
| Academy of Management Journal                      | 3552   | 1862  | 73  | 2  | 337            | 233   | 2   | 2855 | 1048 | 76    | 117 | 94  | 20 |
| Journal of Policy Analysis And Management          | 2932   | 202   | -   | -  | 101            | 49    | -   | 2258 | 54   | -     | 15  | 2   | -  |
| European Journal of Operational Research           | 6649   | 1403  | 3   | -  | 1060           | 503   | 9   | 2150 | 158  | 1     | 847 | 579 | 10 |
| Strategic Management Journal                       | 2771   | 1551  | 85  | 7  | 484            | 306   | 3   | 2114 | 816  | 73    | 111 | 82  | 4  |
| Academy of Management Review                       | 2342   | 1035  | 99  | 15 | 144            | 93    | 3   | 1752 | 623  | 96    | 19  | 14  | 6  |
| Journal of Applied Psychology                      | 2052   | 1220  | 26  | 1  | 260            | 174   | 1   | 1751 | 633  | 28    | 135 | 103 | 6  |
| Journal of Business Ethics                         | 3650   | 780   | 1   | -  | 628            | 291   | -   | 1642 | 126  | -     | 276 | 170 | -  |
| Journal of Portfolio Management                    | 2059   | 78    | -   | -  | 45             | 7     | -   | 1614 | 26   | -     | 18  | 4   | -  |
| Journal of Management                              | 1897   | 888   | 24  | 2  | 353            | 223   | 2   | 1588 | 461  | 26    | 71  | 56  | -  |
| California Management Review                       | 2308   | 405   | 6   | -  | 78             | 45    | 1   | 1555 | 159  | 6     | 10  | 7   | -  |
| Energy Policy                                      | 2146   | 407   | 1   | -  | 545            | 287   | 2   | 434  | 24   | -     | 490 | 343 | -  |
| Tourism Management                                 | 3584   | 976   | 5   | -  | 735            | 451   | 6   | 652  | 92   | 3     | 357 | 242 | 7  |
| International Journal of Human Resource Management | 2530   | 308   | -   | -  | 363            | 131   | -   | 399  | 19   | -     | 292 | 179 | -  |
| Journal of Business Research                       | 2664   | 489   | -   | -  | 706            | 333   | 5   | 1167 | 76   | -     | 248 | 138 | -  |
| Omega International Journal of Management Science  | 3322   | 494   | 3   | -  | 373            | 210   | 6   | 1156 | 62   | 3     | 246 | 176 | 7  |
| Information Management                             | 2111   | 489   | 4   | -  | 254            | 134   | 1   | 1166 | 94   | 1     | 228 | 148 | 9  |
| Journal of International Business Studies          | 910    | 457   | 7   | -  | 148            | 97    | 1   | 571  | 165  | 7     | 131 | 112 | 10 |
| MIS Quarterly                                      | 875    | 492   | 27  | 3  | 121            | 69    | 2   | 735  | 251  | 23    | 56  | 40  | 4  |
| Journal of Operations Management                   | 818    | 451   | 4   | -  | 138            | 90    | 2   | 666  | 209  | 4     | 49  | 43  | 8  |
| Organization Science                               | 1149   | 618   | 21  | 1  | 176            | 95    | 1   | 903  | 323  | 20    | 40  | 35  | 6  |
| Journal of Marketing                               | 943    | 428   | 33  | 3  | 87             | 58    | 1   | 724  | 257  | 38    | 34  | 29  | 5  |
| Journal of Finance                                 | 1153   | 483   | 19  | 1  | 96             | 71    | -   | 1006 | 300  | 19    | 26  | 24  | 3  |
| Administrative Science Quarterly                   | 981    | 319   | 26  | 2  | 66             | 46    | -   | 812  | 228  | 27    | 11  | 7   |    |

#### **International Collaboration**

An additional analysis sheds some interesting insights in the publication process. Zhang et al. (2018) demonstrated the increasing impact of international collaboration in research, that is signaled by the publications by joint researchers of both countries. They showed how this increased co-authorship helped to raise the Chinese scientific contribution. The analysis in Table 13 based on the publication achievements in the 10%-percentile and h-core confirms this finding. The top 1% data are added, as this has comparable datasets, each defined with 310 articles, whereas the sets for the h-core strongly differ.

Table 13: The Collaboration of Chinese and US in Academic Management Research

|            | ch 100 | 10    | 1%    | h     |            | ch 100 | 10    | 1%    | h     |
|------------|--------|-------|-------|-------|------------|--------|-------|-------|-------|
| China      | 30688  | 3100  | 310   | 64    | USA        | 31740  | 3100  | 310   | 101   |
| USA        | 3402   | 1029  | 134   | 34    | China      | 3402   | 381   | 38    | 9     |
| England    | 999    | 308   | 32    | 10    | England    | 2182   | 317   | 50    | 15    |
| Australia  | 863    | 241   | 31    | 7     | Australia  | 1044   | 148   | 24    | 6     |
| Canada     | 620    | 186   | 27    | 8     | Canada     | 1813   | 229   | 30    | 7     |
| Europe M5* | 856    | 269   | 28    | 8     | Europe M5* | 3887   | 596   | 74    | 17    |
| USA        | 11.1%  | 33.2% | 43.2% | 53.1% | China      | 10.7%  | 12.3% | 12.3% | 8.9%  |
| England    | 3.3%   | 9.9%  | 10.3% | 15.6% | England    | 6.9%   | 10.2% | 16.1% | 14.9% |
| Australia  | 2.8%   | 7.8%  | 10.0% | 10.9% | Australia  | 3.3%   | 4.8%  | 7.7%  | 5.9%  |
| Canada     | 2.0%   | 6.0%  | 8.7%  | 12.5% | Canada     | 5.7%   | 7.4%  | 9.7%  | 6.9%  |
| Europe M5* | 2.8%   | 8.7%  | 9.0%  | 12.5% | Europe M5* | 12.2%  | 19.2% | 23.9% | 16.8% |

<sup>\*</sup> Germany, Italy, France, Spain, Netherlands

In the 5 most recent years, China reached the productivity of the USA, both around 30000 publication, which represents for each 20 percent of the world publication. Around 11 pecent of those publications have a joint publication involving authors of US and Chinese institutions. Besides this, Chinese authors have more cooperation with other Englishspeaking countries as England, Australia or Canada, together for about 16 percent, compared to the USA with only 8 percent. Also, the Chinese cooperation with Europe is more important with 12 percent for the 5 most productive European countries (Germany, Italy, France, Spain and the Netherlands) compared to 3 percent for the USA. However, this cooperation aspect changes quite dramatically when considering the top 10%, the top 1% and the h-core of the dataset. Whereas the US articles stay around 10 percent for the Chinese cooperation in each category, and double for British and Australian collaboration, the collaboration factor explodes for the Chinese articles in function of the selective categories: from 11 percent in the total set, to 33 percent in the top 10%, to 43 percent in the top 1% and finally towards 53 percent in the h-core. A similar pattern is found for the collaboration of Chinese researchers with England, Australia, Canada and Europe: the percentage of collaboration articles increases by a factor of 3.5 to 5 when considering the top 1% or h-core. It should be reminded that those figures are not exclusive, as each participating country is counted as a full count, and multi-country studies can involve several countries.

Collaboration with US scholars and other Anglo-Saxon and Western European countries from top institutions increases the chances to be published in decent journals, and improves the citation record. The Chinese research community has used this strategy with success, as explained by Zhang et al (2018). The bibliometric data illustrate this pattern for academic research in management. By this tactic, younger scholars from younger research countries gradually learn to master the research tradition and the publication process.

#### **DISCUSSION AND CONCLUSION**

The steady increase of Chinese publications in academic management research has been analyzed in a more detailed way. While the Chinese scholars have reached the same productivity level as the US since 10 years, this statement needs to be nuanced. China did a remarkable overtaking movement in 15 years time, becoming co-leader in productivity at the country level and at the level of the university. However, this phenomenon is relativized by a lower impact: even in the recent years, the average number of citations of Chinese publications constitutes half that of the USA. Chinese publications contain a larger portion of proceedings and Chinese scholars have not succeeded to publish the same proportion of their articles in the elite management journals yet.

However, as their overall strategy, China invested massively in academic research, also in management research, and the results are beginning to give some fruitful results. A common tactic has been to cooperate with other scholars, and gradually build up expertise. This finding confirms Li and Li (2015) 's analysis on patterns of co-authorship in Chinese publications in social sciences. China has played this institutionalization process very well. The Chinese universities and the Chinese researchers have successfully adopted this strategy, that will ultimately help them to perform better and so gain independence in their research.

While the dominant position of the US has been attacked from all continents, the USA maintains their privilege of maturity and benefits from the first important decades of academic research; many important articles in many sub-themes are written in the first decades and those seminal articles will remain an obligatory reference by future scholars. Important to notice, is that the progress of other countries and China has lowered the relative dominance of the USA, but is not the result of a regression of the US publication volume in absolute terms. The US researchers have increased their number of articles and have maintained the same amount of top 10 and h-core articles in absolute terms; the other countries have realized an extra increase of articles resulting in an inflationary increase of new journals in the last two decennia. This US dominance would probably even be more significant, when applying a fractional counting method to multiple authors papers rather than full counting.

Of course, in academic research, where reputation is built on decades and even centuries of tradition and quality, it will always remain very hard to equalize with the older prestigious institutions. This is especially visualized when applying bibliometrics methods that consider the overall publication timeframe; but when taken into account the more recent years, the trend to the increased impact of China is visible and will continue to improve. One should also notice the home advantage of US and British academics, who have the privilege of writing in their native language, and control the major elite publication outlets. English as the lingua franca of modern times will remain a somewhat unfair advantage for native speakers.

Besides these compelling findings, the main contribution of this paper lies in bibliometrics. This application of the f<sup>2</sup>-methodology brings nuances to first level bibliometric analyses based on volume productivity or on total citations. It adds an important qualitative element to the mathematical citation count. With its weights in function of impact (percentile in the citation distribution) it shows how the rankings can vary. The more fine-grained classification of publications into categories of citations allows a more precise categorization and fairer assessments. This nuanced analysis results in more equitable

rankings. Moreover, the application of the compound F<sup>2</sup>-index and its comparative evaluation with the overall f<sup>2</sup>-index illustrates the dynamics in bibliometrics, and helps to identify newcomers in the field, university institutions or authors in constant progress.

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